

# Deriving Energy-Gap of Some Hamiltonians with Kinetic Coupling by the Invariant Eigen-Operator Method

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**Abstract** We begin with proposing a unitary operator responsible for diagonalizing the Hamiltonian with kinetic couplings in particle physics to get a new form of Hamiltonian which has no coupling terms. By virtue of the invariant eigen-operator (IEO) method we search for the invariant eigen-operators for the new Hamiltonian. In this way the energy-gap of the Hamiltonians can be naturally obtained. This method may be generalized to  $N$ -mode Hamiltonian with kinetic couplings case.

**Keywords** Unitary operator · The invariant eigen-operator · Coupling terms

## 1 Introduction

It is well known the internal coordinates are frequently adopted in theoretical molecular physics [1], and consequently there naturally arise kinetic energy coupling terms in the dynamic vibrational Hamiltonian describing the molecules [2]. The kinetic energy coupling in one-dimensional diatomic systems is treated in the representation based on the eigenvectors of two particles' relative position and total momentum [3–5]. Later, a method of linear change of variables was suggested, which seems effective to decouple the kinetic energy operator in the two-body Hamiltonian [6]. In [7], the authors solved some three-body problems regarding molecular vibrational dynamics. A interesting question thus naturally arises: is it possible to solve such Hamiltonians with kinetic coupling by the invariant eigen-operator method? If yes, it will greatly facilitates the calculations of the problem.

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The work is arranged as follows: in Sect. 2, the idea of IEO will be briefly recapitulated, in Sect. 3, we will employ the IEO method to derive the energy-gap for a type of two-particle Hamiltonian with kinetic coupling, in Sect. 4 we consider a three-particle Hamiltonian with kinetic coupling. This work is useful for deriving energy-gap of some Hamiltonians with kinetic coupling.

## 2 Invariant Eigen-Operator Method

We usually set up Schrödinger equation [7] to solve quantum mechanical dynamic problems. When Hamiltonian  $\hat{H}$  does not include time, the solution of stationary Schrödinger equation  $\hat{H}|\Psi_n\rangle = E_n|\Psi_n\rangle$  can straightforwardly depict system energy spectra and eigenstates [8]. However, only very limited Hamiltonians can be solved analytically. As important as the Schrödinger equation there is the Heisenberg equation [9]

$$i \frac{d}{dt} \hat{O} = [\hat{O}, \hat{H}], \quad \hbar = 1, \quad (1)$$

but (1) is seldom used to directly solve energy spectrum problem. In [10, 11] we have developed the idea of stationary Schrödinger equation to so-called invariant eigen-operator equation, i.e. we construct the following equation

$$\left( i \frac{d}{dt} \right)^n \hat{O}_e = [\dots [[\hat{O}_e, \hat{H}], \hat{H}], \dots, \hat{H}] = \lambda \hat{O}_e \quad (2)$$

to derive energy-level gap of dynamic systems. In (2),  $\hat{O}_e$  is named invariant eigen-operator since it satisfies the eigenvector-like equation. The essence of this method is based on the observation of Schrödinger operator  $i \frac{d}{dt} \longleftrightarrow \hat{H}$  (the energy operator),  $(i \frac{d}{dt})^n \longleftrightarrow \hat{H}^n$ , so (2) can be viewed as an eigen-operator equation with  $\lambda$  being the eigenvalue of  $(i \frac{d}{dt})^n$ . Therefore from (2) we know that the energy gap is  $\sqrt[n]{\lambda}$ . By virtue of this method, we have directly and conveniently obtained energy levels of certain dynamic systems.

## 3 Energy-Gap of Two-Particle Hamiltonian with Kinetic Coupling

We consider a two-particle Hamiltonian with kinetic coupling

$$H_1 = \omega(a^\dagger a + b^\dagger b) + k(a^\dagger b^\dagger + ab) + \frac{g}{(X_1 - X_2)^2}, \quad (3)$$

where  $\omega$ ,  $k$  and  $g$  are real parameters.

Now we use a two-mode squeezed transformation to derive the energy-gap for the Hamiltonian in (3). We begin with proposing a two-mode squeezed operator for diagonalizing  $H$  in (3) having the form

$$S_2 = \exp(a^\dagger b^\dagger \tanh \lambda) \exp[(a^\dagger a + b^\dagger b + 1)In \operatorname{sech} \lambda] \exp(-ab \tanh \lambda). \quad (4)$$

Using the operator formula

$$e^A B e^{-A} = B + [A, B] + \frac{1}{2!}[A, [A, B]] + \frac{1}{3!}[A, [A, [A, B]]] + \dots, \quad (5)$$

and

$$X_i = \frac{a_i + a_i^\dagger}{\sqrt{2}}, \quad i = 1, 2 \quad (6)$$

we have

$$\begin{aligned} S_2 a S_2^{-1} &= a \cosh \lambda - b^\dagger \sinh \lambda, & S_2 b S_2^{-1} &= b \cosh \lambda - a^\dagger \sinh \lambda, \\ S_2 a^\dagger S_2^{-1} &= a^\dagger \cosh \lambda - b \sinh \lambda, & S_2 b^\dagger S_2^{-1} &= b^\dagger \cosh \lambda - a \sinh \lambda. \end{aligned} \quad (7)$$

From (6), (7) and (3), we get

$$\begin{aligned} H'_1 &\equiv S_2 H_1 S_2^{-1} \\ &= (a^\dagger a + b^\dagger b)[\omega(1 + 2 \sinh^2 \lambda) - k \sinh 2\lambda] \\ &\quad - (a^\dagger b^\dagger + ab)[\omega \sinh 2\lambda - k(1 + 2 \sinh^2 \lambda)] + \frac{g}{(\cosh \lambda - \sinh \lambda)^2 (X_1 - X_2)^2} \\ &\quad + 2\omega \sinh^2 \lambda - k \sinh 2\lambda. \end{aligned} \quad (8)$$

In the case  $\omega \sinh 2\lambda = k(1 + 2 \sinh^2 \lambda)$ , (8) can be changed into

$$H'_1 = K(a^\dagger a + b^\dagger b) + \frac{G}{(X_1 - X_2)^2} + C, \quad (9)$$

where

$$K = \omega(1 + 2 \sinh^2 \lambda) - k \sinh 2\lambda, \quad G = \frac{g}{(\cosh \lambda - \sinh \lambda)^2},$$

$$C = 2\omega \sinh^2 \lambda - k \sinh 2\lambda.$$

It is remarkable that  $H'_1$  has no coupling terms, and if  $|E\rangle$  is an eigenvector of  $H'_1$ ,  $S_2 H_1 S_2^{-1} |E\rangle$  is the eigenvector of  $H$  with the same energy eigenvalue.

For

$$\begin{aligned} &\left[ \sum_i P_i^2, \frac{1}{(X_1 - X_2)^2} \right] \\ &= -i \sum_i P_i \frac{\partial}{\partial X_i} \frac{1}{(X_1 - X_2)^2} - i \sum_i \frac{\partial}{\partial X_i} \frac{1}{(X_1 - X_2)^2} P_i \\ &= 2i(P_1 - P_2) \frac{1}{(X_1 - X_2)^3} + 2i \frac{1}{(X_1 - X_2)^3} (P_1 - P_2), \end{aligned} \quad (10)$$

we have

$$\begin{aligned} &\left[ \frac{1}{2} \sum_i a_i^2, \frac{1}{(X_1 - X_2)^2} \right] \\ &= -\frac{1}{4} \left[ \sum_i P_i^2, \frac{1}{(X_1 - X_2)^2} \right] + \frac{i}{4} \left[ \sum_i (X_i P_i + P_i X_i), \frac{1}{(X_1 - X_2)^2} \right] \\ &= -i \left[ (P_1 - P_2) \frac{1}{(X_1 - X_2)^3} + \frac{1}{(X_1 - X_2)^3} (P_1 - P_2) \right] - \frac{2}{(X_1 - X_2)^2}, \end{aligned} \quad (11)$$

and

$$\begin{aligned} & \left[ \sum_i a_i^\dagger a_i, \frac{1}{(X_1 - X_2)^2} \right] \\ &= \frac{1}{2} \left[ \sum_i P_i^2, \frac{1}{(X_1 - X_2)^2} \right] \\ &= i \left[ (P_1 - P_2) \frac{1}{(X_1 - X_2)^3} + \frac{1}{(X_1 - X_2)^3} (P_1 - P_2) \right]. \end{aligned} \quad (12)$$

Assuming the invariant eigen-operator of the Hamiltonian in (9) be

$$\hat{O}_1 = (a^2 + b^2) - \frac{G}{K} \frac{1}{(X_1 - X_2)^2}, \quad (13)$$

we have

$$\begin{aligned} [\hat{O}_1, H'_1] &= K \left[ (a^2 + b^2), K(a^\dagger a + b^\dagger b) \right] + G \left[ (a^2 + b^2), \frac{1}{(X_1 - X_2)^2} \right] \\ &\quad + G \left[ (a^\dagger a + b^\dagger b), \frac{1}{(X_1 - X_2)^2} \right] \\ &= 2K(a^2 + b^2) - \frac{2G}{(X_1 - X_2)^2} = 2K\hat{O}_1. \end{aligned} \quad (14)$$

According (2), we can write down the energy-gap of  $H'_1$  (or  $H_1$ ):

$$\Delta E_1 = 2K = 2[\omega(1 + 2 \sinh^2 \lambda) - k \sinh 2\lambda]. \quad (15)$$

#### 4 Energy-Gap of Three-Particle Hamiltonian with Kinetic Coupling

We consider a triatomic molecule model with the kinetic coupling terms as the following:

$$H_2 = \sum_{i=1}^3 \frac{P_i^2}{2m} + kP_1P_2 + lP_1P_3 + lP_2P_3 + V_1(X_2 - X_1) + V_2(X_3 - X_{12}), \quad (16)$$

where  $X_{12} = (X_1 + X_2)/2$  is the center-of-mass of particles 1 and 2, which have the same mass.  $V_1$  and  $V_2$  are arbitrary functions about  $(X_2 - X_1)$  and  $(X_3 - X_{12})$ , respectively.  $k$  and  $l$  are the coupling parameters.

We give a unitary operator responsible for diagonalizing  $H_2$  in (16) having the form

$$U(A) = \sqrt{\det A} \int |Ap\rangle \langle p| dp, \quad (17)$$

where  $|P\rangle = |p_1, p_2, p_3\rangle$  is the three-mode momentum eigenstate, with  $P_i|p\rangle = p_i|p\rangle$ ,  $A$  is a  $3 \times 3$  matrix,

$$A = \begin{bmatrix} -1 & -1/2 & \eta v \\ 1 & -1/2 & \eta v \\ 0 & 1 & v \end{bmatrix},$$

$$\eta = \frac{m^{-1} - l}{m^{-1} + k - 2l}, \quad v \neq 0, \quad (18)$$

$$A^{-1} = \frac{1}{(2\eta + 1)v} \begin{bmatrix} -( \eta + \frac{1}{2})v & (\eta + \frac{1}{2})v & 0 \\ -v & -v & 2\eta v \\ 1 & 1 & 1 \end{bmatrix}. \quad (19)$$

In (18)  $v$  can be an arbitrary number except zero, and

$$\det(A) = (2\eta + 1)v = \frac{3m^{-1} - 4l + k}{m^{-1} + k - 2l}v \neq 0. \quad (20)$$

This unitary operator  $U$  is  $v$ -dependent.

From  $\langle p_i | p_j \rangle = \delta(p_i - p_j)\delta_{ij}$ , we can easily prove that  $UU^\dagger = U^\dagger U = 1$ , i.e.,

$$UU^\dagger = \det A \iint |Ap\rangle \langle p|p'\rangle \langle Ap'|dpdp' = 1. \quad (21)$$

Under  $U$  transformation,  $P$  and  $X$  transform as

$$U^\dagger PU = AP, \quad U^\dagger XU = \tilde{A}^{-1}X, \quad (22)$$

where  $\tilde{A}$  is the transposition of  $A$ .

Moreover, from

$$\begin{aligned} U(A)U(A^{-1}) &= U(A).\sqrt{\det A^{-1}} \int |A^{-1}p\rangle \langle p|dp \iint |Ap\rangle \delta(p - A^{-1}p') \langle p'|dpdp' \\ &= \int |AA^{-1}p'\rangle \langle p'|dp' = 1, \end{aligned} \quad (23)$$

we can derive

$$U^\dagger(A) = U(A^{-1}). \quad (24)$$

As a result of (22) and (24) we have

$$UPU^\dagger = A^{-1}P, \quad UXU^\dagger = \tilde{A}X. \quad (25)$$

Performing the  $U$  transformation on  $H$ , as a result of (18), (19), and (22), we obtain

$$U^\dagger H_2 U = \sum_{i=1}^3 \lambda_i P_i^2 + V_1(X_1) + V_2(X_2) \equiv H'_2, \quad (26)$$

where

$$\lambda_1 = m^{-1} - k,$$

$$\lambda_2 = \frac{3}{4m} + \frac{1}{4}k - l,$$

$$\lambda_3 = \Lambda v^2,$$

$$\Lambda = \left( \frac{m^{-1} - l}{m^{-1} + k - 2l} \right)^2 \left( \frac{1}{m} + k \right) + \frac{1}{2m} + 2l \frac{m^{-1} - l}{m^{-1} + k - 2l}.$$

It is remarkable that  $H'_2$  has no coupling terms.

Here we examine the case when

$$V_1(X_1) = \lambda_2 X_1^2, \quad V_2(X_2) = \lambda_1 X_2^2. \quad (27)$$

Substituting (27) into (26), we have

$$H_2'' = \sum_{i=1}^3 \lambda_i P_i^2 + \lambda_2 X_1^2 + \lambda_1 X_2^2. \quad (28)$$

Assuming the invariant eigen-operator of the Hamiltonian in (28) to be

$$\hat{O}_2 = X_1 + X_2, \quad (29)$$

we have

$$[\hat{O}_2, H_2''] = 2i\lambda_1 P_1 + 2i\lambda_2 P_2, \quad (30)$$

and

$$[[\hat{O}_2, H_2''], H_2''] = 4\lambda_1\lambda_2(X_1 + X_2). \quad (31)$$

According to (2), we know that the energy-level gap of  $H_2''$  is

$$\Delta E_1 = 2\sqrt{\lambda_1\lambda_2}. \quad (32)$$

In summary, we begin with proposing a unitary operator responsible for diagonalizing the Hamiltonians with kinetic coupling terms, then by virtue of the IEO method we can derive the energy-gap of the Hamiltonians. This method may be generalized to the  $N$ -mode case and will be useful for analyzing both the squeezing and entangling mechanisms.

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